



# Contracts & Compliance Update

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Contracts and Compliance Section**

# *Contracts & Compliance Update*

## Overview

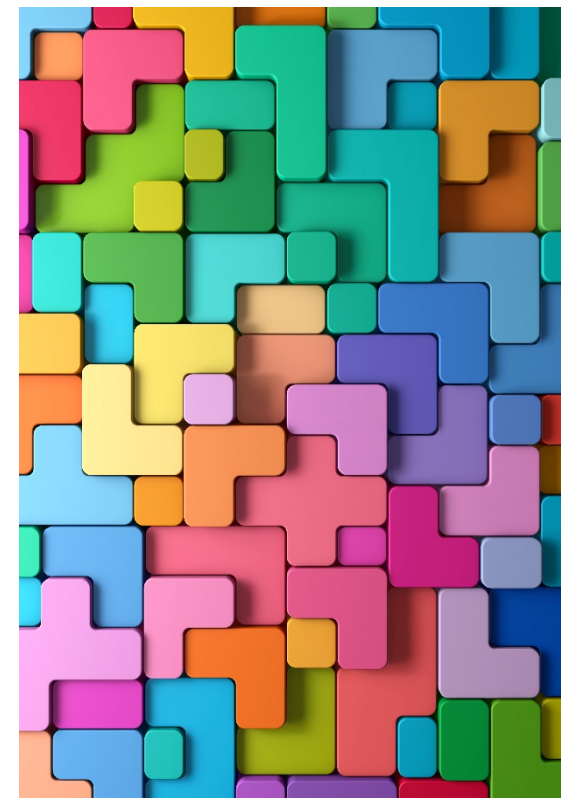
- Revised Master Audit Plan
- Review Key Components
- Timeline and Next Steps
- PPE Distribution



# *Contracts Update*

## Master Audit Plan - Approach

- Leverage non-SAPC monitoring activities
- Incorporate efficiencies
- Be data-driven and focused
- Move from “Monitoring” to “Management” model



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## Master Audit Plan – Leverage non-SAPC Monitoring

- DHCS Monitoring
  - ✓ Drug Medi-Cal Post Service Post Payment (PSPP)
  - ✓ Drug Medi-Cal Monitoring (DMCM)
- Los Angeles County Auditor-Controller
  - ✓ Fiscal Compliance Review
- Complaints, Investigations, etc.



**SAPC is responsible for all corrective actions.**

# *Contracts Update*

## Master Audit Plan – Data-Driven and Focused

- Leverage data in Sage
- Run and share data reports from Sage
  - ✓ County and Aid Code Reports
  - ✓ Guarantors by Legal Entity
  - ✓ List of Clients with Applying for Medi-Cal
  - ✓ Etc.
- Escalate issues based on prior performance



## *Contracts Update*

### Master Audit Plan – Data-Driven and Focused

- Reports = Cover Letter & Monitoring Tool
- Request information and documentation
- Use of Secure File Transport Process (SFTP)
- Greatly increase remote monitoring



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### Master Audit Plan – Move from Monitoring to Management Model

- Create monthly compliance activities
- Incorporate feedback and input from other SAPC Sections
- Highlight patient experiences and inputs
- Increase reports & CAP opportunities



# FY 21-22 Master Audit Plan

## Key Components

- Establish a year-long schedule for all compliance management activities
- All activities will be conducted remotely. Exceptions: Facility inspections & as-needed monitoring and/or investigations

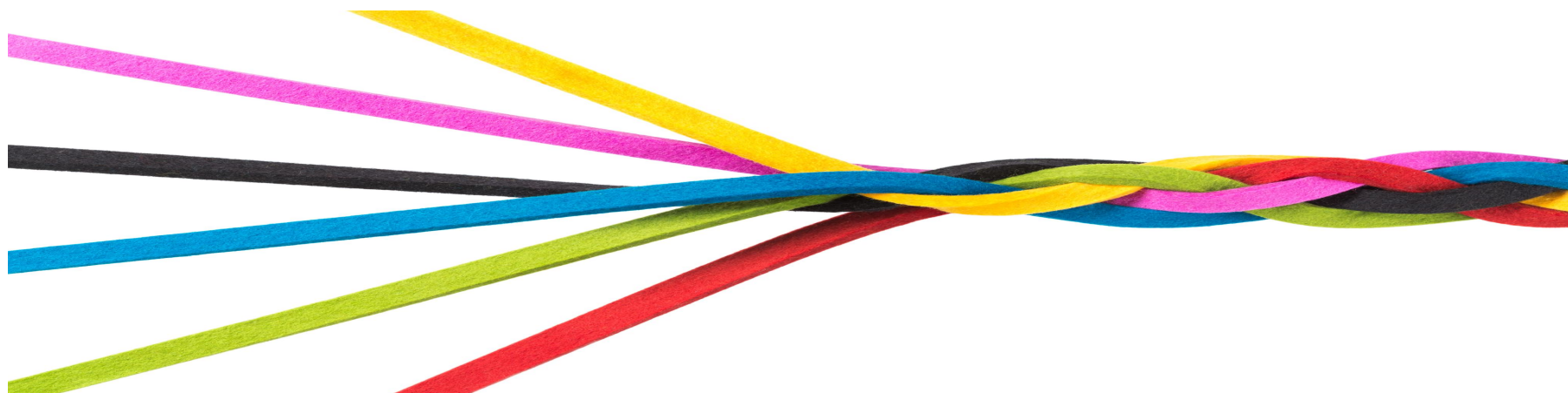
Monthly	Quarterly	Bi-Annual	Annual
<ul style="list-style-type: none"><li>• Monthly SBAT Check</li><li>• SASH/CENS/CORE Issues</li><li>• Eligibility Verification Review</li></ul>	<ul style="list-style-type: none"><li>• Documentation Note Review</li></ul>	<ul style="list-style-type: none"><li>• Client Interview</li><li>• Special Policy Review</li></ul>	<ul style="list-style-type: none"><li>• Personnel Review</li><li>• Administrative Funding</li><li>• Programmatic Monitoring</li><li>• Facility Inspection</li></ul>



# FY 21-22 Master Audit Plan

## Key Components

- CPAs will request documents to conduct compliance activities
- Information/Document sharing will be conducted using the SFTP
- Establish a single CAP per agency that will show “open” and “resolved” issues



# FY 21-22 Master Audit Plan

## Timeline & Next Steps

- Launch: July 1, 2021
- Identity staff who needs SFTP access and work with SAPC IT
- June 15, 2021: CPA will request personnel and credentialing documents from treatment and prevention agencies



# *Contracts & Compliance Update*

## Protective Personal Equipment Distribution – June 18<sup>th</sup>

- Glove, face covering, and some medical supplies
- Available to all SAPC Service Providers
- While supplies last
- Email instructions will be sent out by June 10<sup>th</sup>





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**THANK YOU!**