



SUBSTANCE ABUSE PREVENTION AND CONTROL

FULL ASAM ASSESSMENT - ADULT

Based on the ASAM Criteria [3rd Edition] Multidimensional Assessment

Mail: Substance Abuse Prevention and Control

1000 S. Fremont Ave, Bldg. A9 East, 3rd Floor, Alhamabra, CA 91803

Website: Fax:

e: <u>http://publichealth.lacounty.gov/sapc/</u> (XXX) XXX-XXXX

To check submission status call: (XXX) XXX-XXXX

	DEMOGRAPHIC			
Name (Last, First, and Middle)				Date:
Address:				Phone Number:
	-			
Date of Birth (MM/DD/YYYY)	Age:	Gender:		Okay to Leave a Message?
				Yes No
Race/Ethnicity:	Preferred Language:	Medi-Cal Identification Number:		Other ID# (Plan):
Insurance Type: None My	HealthLA Medicare	Medi-Cal	Private	Other
	(Plan):	(Plan): (Plan	ı):	(Plan):
Living Arrangement:				
Homeless	Independent living Other (specif	fy):		

Explanation of why client is currently seeking treatment: Current symptoms, functional impairment, severity, duration of symptoms (e.g., unable to work/ school, relationship/housing problems):

DIMENSION 1: SUBSTANCE USE, ACUTE INTOXICATION AND/OR WITHDRAWAL POTENTIAL

1. Substance use history:						
Alcohol and/or Drug Types	Recently Used? (Past 6 Months)	Prior Use? (Lifetime)	Route (Inject, Smoke, Snort)	Frequency (Daily, Weekly, Monthly)	Duration (Length of Use)	Date of Last Use
Amphetamines (Meth, Ice, Crank)						
Alcohol						
Cocaine/Crack						
Heroin						
Marijuana						
Opioid Pain Medications Misuse or without prescription						
Sedative (Benzos, Sleeping Pills) Misuse or without prescription						
Hallucinogens						
Inhalants						
Over-the-Counter Medications (Cough Syrup, Diet Aids)						
Nicotine						
Other:						

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representative to who it pertains unless otherwise permitted by law.

Cli	ent Name:	Medi-Cal ID:
Tre	eatment Agency:	

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A	dditional Information:		
2.	Do you find yourself using more alcohol and/or drugs than you intend to? Please describe:	Yes	🗌 No
3.	Do you get physically ill when you stop using alcohol and/or drugs? Please describe:	Yes	🗌 No
4.	Are you currently experiencing withdrawal symptoms, such as tremors, excessive sweating, rapid heart rate, blackouts, a Please describe specific symptoms and consider immediate referral for medical evaluation:	anxiety, vomitin Yes	ng, etc.?
5.	Do you have a history of serious withdrawal, seizures, or life-threatening symptoms during withdrawal? Please describe and specify withdrawal substance(s):	Yes	🗌 No
6.	Do you find yourself using more alcohol and/or drugs in order to get the same high? Please describe:	Series Yes	🗌 No
7.	Has your alcohol and/or drug use changed recently (increase/decrease, changed route of use)? Please describe:	Yes	🗌 No
_			
8.	Please describe family history of alcohol and/or drug use:		

Please circle one of the following levels of severity

Severity Rating - Dimension 1 (Substance Use, Acute Intoxication and/or Withdrawl Potential)								
0	1	2	3	4				
None	Mild	Moderate	Severe	Very Severe				
No signs of withdrawal/intoxication present	Mild/moderate intoxication, interferers with daily functioning. Minimal risk of severe withdrawal. No danger to self/others.		rick of danger to calt/others Rick of	Incapacitated. Severe signs and symptoms. Presents danger, i.e. seizures. Continued substance use poses an imminent threat to life.				

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Additional Comments:

	DIMENCION	A BIOMEDICA	CONDITIONS		MC		
9. Please list known medica		2: BIOMEDICAL	L CONDITIONS A	AND COMPLICATIO	JNS		
Physician Nam		Specialty		Cont	act Inform	ation	
10. Do you have any of the Heart Problems	-	t ions: izure/Neurological	Пм	uscle/Joint Problems		Diabetes	
High Blood Press		yroid Problems	_	sion Problems		Sleep Problems	
High Cholesterol		dney Problems		earing Problems		Chronic Pain	
Blood Disorder		ver Problems	_	ental Problems		Pregnant	
_			_	xually Transmitted Dis	aaaa(a);		
Stomach/Intestina		sthma/Lung Probler	_		ease(s):		
Cancer (specify ty	/pes[s]):			fection(s):			
Allergies:				ner:			
11. Do any of these conditi	ons significantly interfer	e with your life?				Yes	🗆 No
12. Provide additional com	ments on medical condition	ions, prior hospita	lizations (include	dates and reasons):			
13 Question to be answer	d hy interviewer Dees t	ha aliant nonant a	madiaal aventance	that would be sound	anad life th	notoning on noovi	a immediate
13. <u>Question to be answere</u>					ereu me-m		
medical attention?	* If yes, co	onsider immediate	referral to emergen	ncy room or call 911			
14. List all current medicat	tion(s) for medical condit	ion(s):					
Medication	Dose/	Frequency	R	eason	Ef	fectiveness/Side Ef	fects
<u>Please circle one of the following levels of severity</u> Severity Rating - Dimension 2 (Biomedical Conditions and Complications)							
0	<u>Severity Ratin</u>	ng - Dimension 2 (2	tions and Complication	ons)	4	
None	Mild	М	oderate	Severe	1 1	Very Seve	ere
	Mild to moderate symptom	ns Some difficult	y tolerating physical	Serious medical problen during outpatient or i			
Fully functional/ able to cope	interfering with daily	problems. Acute	e, nonlife threatening	outpatient treatment. Sev	vere medical	Incapacitated with se	
with discomfort or pain.	functioning. Adequate abilit cope with physical discomf	· · ·	resent, or serious blems are neglected.	problems present but st ability to cope with j		problems	š.

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problems.

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Additional Comments:

		DIMENSION 3: EMO	TIONAL, BEHAVIORAL,	OR COGNITIVE	CONDITIONS ANI	O COMPLICATIO	NS	
15.	15. Do you consider any of the following behaviors or symptoms to be problematic?							
				Mood				
		Depression/sadness	Loss of Pleasure/Inter	est 🗌	Hopelessness	Irritabil	lity/Anger	
		Impulsivity	Pressured Speech		Grandiosity	Racing	Thoughts	5
Anxiety								
		Anxiety/Excessive Worry	Obsessive Thoughts		Compulsive Behavior	rs 🗌 Flashba	acks	
	_			Psychosis				
		Paranoia	Delusions:		Halluc	cinations:		
				Other	C UF			
		Sleep Problems	Memory/Concentratio	n 🔟	Gambling	Risky Sex F	3enaviors	
		Suicidal Thoughts: please describe						
		Thoughts of Harming Others: pleas						
		Abuse (physical, emotional, sexual)						
		Traumatic Event(s):						
		Other:						
							_	_
16.	Have	you ever been diagnosed with a m	ental illness?			Tes [No	□ Not Sure
	Please	e describe (e.g., diagnosis, medication	ns?):					
-								
17	•		1	1	e 1 1 1 9	ſ	N Z	
1/.	-	ou currently or have you previous			tional problems:	l	Yes	L No
	Please	e describe (e.g., treatment setting, hos	spitalizations, duration of trea	atment):				
-								
18	Do vo	ou ever see or hear things that othe	er neonle say they do not se	e or hear?		1	🗌 Yes	🗆 No
10.	-			e of field .				
	Please	e describe:						
-								
19.	Oues	tion to be answered by interviewer	: Based on previous questi	ons, is further ass	essment of mental he	alth needed?	Yes	🗆 No
		e describe:						
	r iease							
-								
20.	List a	all current medication(s) for psychi	iatric condition(s):					
		Medication	Dose/Frequency	R	eason	Effectiven	ness/Side	Effects

21. Please list mental health provider(s):

Provider Name	Contact Information

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Please circle one of the following levels of severity

	Severity Rating - Dimension 3 (Emotional, Behavioral, or Cognitive Conditions and Complications)									
0	1	2	3	4						
None	Mild	Moderate	Severe	Very Severe						
Good impulse control and coping skills. No dangerousness, good social functioning and self-care, no interference with recovery.	Suspect diagnosis of EBC, requires intervention, but does not interfere with recovery. Some relationship impairment.	Persistent EBC. Symptoms distract from recovery, but no immediate threat to self/others. Does not prevent independent functioning.	Severe EBC, but does not require acute level of care. Impulse to harm self or others, but not dangerous in a 24-hr setting.	Severe EBC. Requires acute level of care. Exhibits severe and acute life- threatening symptoms (posing imminent danger to self/others).						

Additional Comments:

22. Is your alcohol and/or	drug use affecting any of the	MENSION 4: READINE	55 TU CHANGE			
Work		al Health	Physical Heal	th 🗌	Finances	
		ionships	Sexual Activi		Legal Matters	
Handling Everyd	—	esteem	Hygiene		Recreational A	ativitias
Other:		steem			Recreational A	cuvines
23. Do you continue to use	e alcohol or drugs despite hav	ving it affect the areas list	ed above?		Yes	🔲 No
Please describe:						
•	p for alcohol and/or drug pro	oblems in the past?			Yes	No
Please list treatment pro	viders(s) Provider Name			Contact Informatio	n	
25. What would help to su	pport your recovery?					
26 What are notential ba		financial turner autotion	ualationahina ata	\9		
20. what are potential bar	rriers to your recovery (e.g.,	inanciai, transportation,	relationships, etc	.):		
27. How important is it for	r you to receive treatment fo	r:				
Alcohol Problems:	□ Not at all	Slightly	Moderately	Considerab	ly	Extremely
Drug Problems:	Not at all	Slightly	Moderately	Considerab	ly	Extremely
Please describe:						
		ease circle one of the following the test of the following the test of the following the test of t				
0	1	2	xeaumess to Cha	3		4
None	Mild	Moderate Reluctant to agree to treatme	nt Low Unaw	Severe re of need to change.	Very	Severe
Willing to engage in treatment.	Willing to enter treatment, but ambivalent to the need to	commitment to change sub		or partially able to follow		ng to change. e to follow through
winning to engage in treatment.	change.	use. Passive engagemen treatment.	in through w	ith recommendations for treatment.		recommendations.

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Ad	ditional Comments:				
		DIMENSION 5: RELAPSE	, CONTINUED USE, OR CON	TINUED PROBLEM POTENT	TIAL
28.	In the last 30 days, how	v often have you experienced	cravings, withdrawal sympton	ns, disturbing effects of use?	
	Alcohol:	None None	Occasionally	Frequently	Constantly
	Drug:	None None	Occasionally	Frequently	Constantly
29.	Do you find yourself s	pending time searching for al	cohol and/or drugs, or trying to	recover from its effects?	Yes No
	Please describe:				
-					
30.	Do you feel that you w	ill either relapse or continue	to use without treatment or add	litional support?	🗌 Yes 🗌 No
	Please describe:				
-					
31.	Are you aware of your	triggers to use alcohol and/o	r drugs?		Yes No
	Please check off any trig	• • • •		_	
	Strong Cravings			Iental Health	Relationship Problems
	Difficulty Dealin			hysical Health	School Pressure
	Enviornment	Unem	ployment C	Chronic Pain	Peer Pressure
	Other:				
32.	What do you do if you	are triggered?			
-					
33.	Can you please describ	e any attempts you have mad	le to either control or cut down	on your alcohol and/or drug us	e?
	v			v B	
-					
-					
34.	What is the longest per	riod of time that you have go	ne without using alcohol and/or	drugs?	
-			_		
35.	What helped and didn	't help?			
-					
		Die	ase circle one of the following leve	le of covority	
				, or Continued Problem Potentia	D
	0	1	2	3	4
<u> </u>	None	Mild	Moderate	Severe	Very Severe No coping skills for relapse/
Lo	w/no potential for relapse. Good ability to cope.	Minimal relapse potential. Some risk, but fair coping and relapse prevention skills.	Impaired recognition of risk for relapse. Able to self-manage with prompting.	Little recognition of risk for relapse poor skills to cope with relapse.	1 0 1
Ad	ditional Comments:			-	-

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DIMENSION 6: RECOVERY/LIVING ENVIRONMENT

36.	Do you have any relationships that are supportive of your recovery? (e.g.	, family, friends)		
_				
_				
37.	What is your current living situation (e.g., homeless, living with family/al-	one)?		
_				
38.	Do you currently live in an environment where others are using drugs?		Yes	🗌 No
	Please describe:		_	_
_				
39.	Are you currently involved in relationships or situations that pose a threa	t to your safety?	Yes	🗆 No
	Please describe:		_	_
_				
40.	Are you currently involved in relationships or situations that would nega	ively impact your recovery?	□ Yes	🗆 No
	Please describe:			
_				
41.	Are you currently employed or enrolled in school?		☐ Yes	🗌 No
	Please describe (e.g., where employed, duration of employment, name and type	e of school):		
_				
42.	Are you currently involved with social services or the legal system (e.g., D	CFS, court mandated, probation, parole)?	□ Yes	🗌 No
	Please describe:	or s, contemporaries, presenter, parece).		
	If on parole/probation:			
	Name of Parole/Probation Officer	Contact Information		

Please circle one of the following levels of severity

Severity Rating-Dimension 6 (Recovery/Living Environment)							
0	1	2	3	4			
None	Mild	Moderate	Severe	Very Severe			
Able to cope in environment/ supportive.	Passive/disinterested social support, but still able to cope.	Unsupportive environment, but able to cope with clinical structure most of the time.		Environment toxic/hostile to recovery. Unable to cope and the environment may pose a threat to safety.			

Additional Comments: _____

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SUMMARY OF MULTIDIMENSIONAL ASSESSMENT

Dimension	Sever	ity Rating (Bas	ed on Ratings 2	Above)	Rationale
Dimension 1 Substance Use, Acute Intoxication and/or Withdrawal Potential	0 None	1 Mild	2 Moderate	☐ 3-4 Severe	
Dimension 2 Biomedical Condition and Complications	0 None	□ 1 Mild	2 Moderate	3-4 Severe	
Dimension 3 Emotional, Behavioral, or Cognitive Condition and Complications	0 None	1 Mild	2 Moderate	3-4 Severe	
Dimension 4 Readiness to Change	0 None	1 Mild	2 Moderate	3-4 Severe	
Dimension 5 Relapse, Continued Use, or Continued Problem Potential	0 None	1 Mild	2 Moderate	3-4 Severe	
Dimension 6 Recovery/Living Environment	0 None	1 Mild	2 Moderate	3-4 Severe	

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DIAGNOSIS: DIAGNOSTIC STATISTICAL MANUAL, 5TH EDITION (DSM-5) CRITERIAL FOR SUBSTANCE USE DISORDER

		N	ame of Substance	(s)
	Substance Use Disorder Criteria (DSM-5)	#1:	#2:	#3:
1	Substance often taken in larger amounts or over a longer period than was intended.			
2	There is a persistent desire or unsuccessful efforts to cut down or control substance use.			
3	A great deal of time is spent in activities necessary to obtain the substance, use the substance, or recover from its effects.			
4	Craving, or a strong desire or urge to use the substance.			
5	Recurrent substance use resulting in a failure to fulfill major role obligations at work, school, or home.			
6	Continued substance use despite having persistent or recurrent social or interpersonal problems caused or exacerbated by the effects of the substance.			
7	Important social, occupational, or recreational activities are given up or reduced because of substance use.			
8	Recurrent substance use in situations in which it is physically hazardous.			
9	Continued substance use despite knowledge of having a persistent or recurrent physical or psychological problem that is likely to have been caused or exacerbated by the substance.			
10	 Tolerance, as defined by either of the following: A need for markedly increased amounts of the substance to achieve intoxication or desired effect. A markedly diminished effect with continued use of the same amount of the substance. 			
11	Withdrawal, as manifested by either of the following:The characteristic withdrawal syndrome for the substance.Substance (or a closely related substance) is taken to relieve or avoid withdrawal symptoms.			
	Total Number of Criteria			

Please check off any symptoms that have occurred in the past 12 months.

List of Substance Use Disorder(s) that Meet DSM-5 Criteria and Date of DSM-5 Diagnosis (specify severity level):

* The prescense of <u>at lease 2</u> of these criteria indicates a substance use disorder.

** The severity of the substance use disorder is defined as:

- Mild: Presence of 2-3 criteria
- Moderate: Presence of 4-5 criteria
- Severe: Presence of <u>6 or more criteria</u>

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ASAM LEVEL OF CARE DETERMINATION TOOL

Instructions: For each dimension, indicate the least intensive level of care that is appropriate based on the client's severity/functioning and service needs.

Instructions: For each dimension, indicate the least intensive level of care that is appropriate based on the client's severity/functioning and service needs.																									
			Dimer	nsion 1	1			nsion 2			Dimer	ision 3	3]	Dimer	sion	4]	Dimer	nsion !	5	Ι	Dimen	ision 6	5
ASAM Criteria Level of Care-	ASAM	Subs	stance	Use, A	cute	Bior	nedica	l Cond	ition	Emot	tional,	Behavi	ioral,	Rea	diness	to Cha	ange	Relap	ose, Co	ntinue	d Use,	R	ecover	y/Livin	ıg
Withdrawal Management	Level	Int	oxicati	on and	/or	an	d Com	plicatio	ons	or Co	ognitiv	e Cond	lition					or C	ontinu	ed Prol	blem		Enviro	nment	
		Withdrawal Potential						and Complications						Potential											
Severity / Impairment Rating		None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev
Ambulatory Withdrawal Management without Extended On-Site Monitoring	1-WM																								
Ambulatory Withdrawal Management with Extended On-Site Monitoring	2-WM																								
Clinically Managed Residential Withdrawal Management	3.2-WM																								
Medically Monitored Inpatient Withdrawal Management	3.7-WM																								
Medically Managed Intensive Inpatient Withdrawal Management	4-WM																								
ASAM Criteria Level of Care- Other 7	Freatme	nt and	Recov	ery Se	rvices																				
Severity / Impairment Rating	5	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev
Early Intervention	0.5												ity		r										
Outpatient Services	1												facility												
Intensive Outpatient Services	2.1												health												
Partial Hospitalization Services	25																								
Clinically Managed Low-Intensity Residential Services	3.1												mental												
Clinically Managed Population-Specific High-Intensity Residential Services	3.3												to												
Clinically Managed High-Intensity Residential Services	3.5												referral												
Medically Monitored Intensive Inpatient Services	3.7												Consider 1												
Medically Managed Intensive Inpatient Services	4												Cons												
ASAM Criteria Level of Care- Other 7	[reatme	nt an <u>d</u>	Recov	ery Se	rvices																				
Severity / Impairment Rating		-	-	Mod			Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev	None	Mild	Mod	Sev
Opioid Treatment Program	OTP																								
Would the patient with alcohol or opioid use disorders benefit from and be interested in Medication-Assisted Treatment (MAT)?																									
Please describe:																									

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PLACEMENET SUMMARY

Level of Care: Enter the ASAM Level of Care (e.g., 3.1, 2.1, 3.2,	W.M) number that offers the most appropriate treatment setting given the client's current
severity and functioning:	

Level of Care Provided : If the most appropriate the term of this discrepancy (below):	priate Level of Care is not utilize	d, then enter the next appropriate Level	of Care and check off the reason
Reason for Discrepancy:			
Not Applicable	Service Not Available	Provider Judgment	Client Preference
Transportation	Accessibility	Financial	Preferred to Wait
-	-		
Language/ Cultural Considerations	Environment	Mental Health	Physical Health
Other:			
Briefly Explain Discrepancy:			
Designated Treatment Location and Provide	er Name:		
Counselor/LPHA Name		Signature	Date
*LPHA Name	S	Signature	Date

*Complete this line if individual conducting this assessment is not an LPHA

LPHA (Licensed Practitioner of the Healing Arts) includes: Physician, Nurse Practitioners, Physician Assistants, Registered Nurses, Registered Pharmacists, Licensed Clinical Psychologist (LCP), Licensed Clinical Social Worker (LCSW), Licensed Professional Clinical Counselor (LPCC), and Licensed Marriage and Family Therapist (LMFT) and licensed-eligible practitioners working under the supervision of licensed clinicians.

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